

# **INFORMATION DISCLOSURE STATEMENT**

Applicants:	Feng-Qi Lai et al.
Application No.	To Be Assigned
Filed:	November 14, 2003
For:	Phonological Awareness , Phonological Processing and Reading Skill Testing
Group Art Unit:	To Be Assigned
Examiner:	To Be Assigned
Attorney Docket No.:	2100632-991123

Commissioner of Patents and Trademarks  
P.O. Box 1450, Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.

This Information Disclosure Statement:

- (a) ☒ accompanies a new patent application submitted herewith.
- (b) ☐ is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; **OR**
- (c) ☐ after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance, **OR**
- (d) ☐ is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below **OR**
- (d) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- (f) ☐ Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
- (g) ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application. Copies of the references are enclosed

The Commissioner is authorized to charge any deficiencies and credit any overpayment of fees to our Deposit Account No. 07-1896.

Respectfully submitted,

Date: November 14, 2003

GRAY CARY WARE & FREIDENRICH LLP

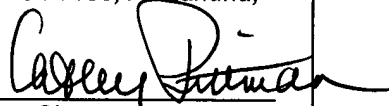
By:   
Reg. No. Timothy W. Lohse, Reg. No. 35,255  
Attorneys for Applicant(s)  
2000 University Avenue  
East Palo Alto, CA 94303  
650-833-2055

☒ Customer Number or Bar Code Label

26379

*I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Commissioner of Patents & Trademarks; Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.*

November 14, 2003  
Date

  
Signature

Form PTO-1449 U.S. DEPT. OF COMMERCE (modified 2/91) Patent and Trademark Office <b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)	Attorney Docket Number: 2100632-991123	Serial Number: To Be Assigned
	Applicant: Feng-Qi Lai; Janet Wasowicz; Andrew Morrison	
	Filing date: November 14, 2003	Group art unit: To Be Assigned

## U.S. PATENT DOCUMENTS

Examiner Initial	Patent number	Date	Name	Class	Sub-class	Filing date if appropriate
	2,514,289	4-July-50	Nye et al.	35		
	3,799,146	26-Mar-74	John et al.	128		
	4,166,452	1-Sep-79	Generales, Jr.	128		
	4,285,517	25-Aug-81	Morrison	273		
	4,363,482	14-Dec-82	Goldfarb	273		
	4,457,719	3-Jul-84	Dittakavi et al.	434		
	4,483,681	20-Nov-84	Weinblatt	434		
	4,770,416	13-Sep-88	Shimuzu et al.	273		
	4,884,972	5-Dec-89	Gasper	434		
	5,122,952	16-Jun-92	Minkus	364		
	5,149,084	22-Sep-92	Dalebout et al.	482		
	5,302,132	12-Apr-94	Corder	434	156	
	5,387,104	7-Feb-95	Corder	434		
	5,421,731	6-Jun-95	Walker	434	167	
	5,456,607	10-Oct-95	Antoniak	434		
	5,513,126	30-Apr-96	Harkins et al.	364		
	5,562,453	8-Oct-96	Wen	434		
	5,579,469	26-Nov-96	Pike	395		
	5,596,698	21-Jan-97	Morgan	395		
	5,649,826	22-Jul-97	West et. al.	434		
	5,692,906	2-Dec-97	Corder	434		
	5,694,546	2-Dec-97	Reisman	395		
	5,727,950	17-Mar-98	Cook et. al.	434		
	5,743,743	28-Apr-98	Ho et. al.	434		
	5,743,746	28-Apr-98	Ho et. al.	434		
	5,751,953	12-May-98	Shiels et. al.	395		
	5,762,503	9-Jun-98	Hoo et. al.	434		
	5,813,862	29-Sep-98	Merzenich et al.	434		
	5,823,781	20-Oct-98	Hitchcock et al.	434	118	
	5,836,771	17-Nov-98	Ho et. al.	434		
	5,863,208	26-Jan-99	Ho et. al.	434		
	5,884,302	16-Mar-99	Ho	707		
	5,885,083	23-Mar-99	Ferrell	434		
	5,920,838	6-Jul-99	Mostow et al.	704		
	5,927,988	27-Jul-99	Jenkins et al.	434		
	5,934,909	10-Aug-99	Ho et. al.	434		
	5,934,910	10-Aug-99	Ho et. al.	434		
	5,944,530	31-Aug-99	Ho et. al.	434		
	5,957,699	28-Sep-99	Peterson et al.	434		
	5,967,793	19-Oct-99	Ho et. al.	434		

Examiner Initial	Patent number	Date	Name	Class	Sub-class	Filing date if appropriate
	6,009,397	28-Dec-99	Siegel	704		
	6,017,219	25-Jan-00	Adams, Jr. et al.	434		
	6,019,607	1-Feb-00	Jenkins et al.	434		
	6,036,496	14-Mar-00	Miller et al.	434		
	6,074,212	13-Jun-00	Cogliano	434		
	6,077,085	20-Jun-00	Parry et al.	434		
	6,098,033	1-Aug-00	Richardson et al.	704		
	6,113,393	5-Sep-00	Neuhaus	434		
	6,118,973	12-Sep-00	Ho et al.	434	362	
	6,123,548	26-Sep-00	Tallal et al.	434		
	6,134,529	17-Oct-00	Rothenberg	704		
	6,146,147	14-Nov-00	Wasowicz	434		
	6,159,014	12-Dec-00	Jenkins et al.	434		
	6,186,794	13-Feb-01	Brown et al.	434		
	6,190,173	20-Feb-01	Jenkins et al.	434		
	6,206,700	27-Mar-01	Brown et al.	434		
	6,210,166	03-Apr-01	Jenkins et al.	434		
	6,227,863	08-May-01	Spector	434		
	6,299,452	09-Oct-01	Wasowicz et al.	434		
	6,305,942	23-Oct-01	Block et al.	434		
	6,328,569	11-Dec-01	Jenkins et al.	434		
	6,331,115	18-Dec-01	Jenkins et al.	434		
	6,334,779	01-Jan-02	Siefert	434		
	6,336,089	01-Jan-02	Everding	704		
	6,336,813	08-Jan-02	Siefert	434		
	6,435,877	20-Aug-02	Wasowicz	434		
	6,511,324	28-Jan-03	Wasowicz	434		
	US 2002/0160347	31-Oct-02	Wallace et al.	434	322	
	US 2002/0098463	25-Jul-02	Fiedorowicz et al.	434	178	

## FOREIGN PATENT DOCUMENTS

Document number	Date	Country	Class	Sub-class	Translation	
					YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Assessment and Instruction in Phonological Awareness, Florida Dept. of Education (1999)
	Auditory Processing Enhancement Programs, Vol. 2: Auditory Discrimination/Phonological Awareness, Academic Communication Associates (1997)
	C. Robertson et al., <i>The Phonological Awareness Test – Examiner's Manual</i> , LinguiSystems, Inc. (1997)
	C.H. Lindamood et al., <i>The LAC Test (Lindamood Auditory Conceptualization Test) Revised Edition – Manual</i> (1971)
	<i>Central Test Battery</i> , Precision Acoustics (1998)
	<i>Innerlogic Reading Edge: Educator's Guide</i> , Innerlogic Software (1998)
	J.K. Torgesen et al., <i>"Test of Phonological Awareness: Examiner's Manual"</i> , Pro-Ed, (1994)
	J.K. Torgesen et al., <i>"TOWRE – Test of Word Reading Efficiency – Examiner's Manual"</i> , Pro-Ed (1999)
	Perceive & Respond Vol. 1: Environmental Sounds – Second Edition, Academic Communication Associates (1997)
	Perceive & Respond Vol. 2: Listening for Auditory Differences – Second Edition, Academic Communication

	Associates (1997)
	Perceive & Respond Vol. 3: Auditory Sequential Memory – Second Edition Academic Communication Associates (1997)
	<i>Phonemic Awareness in Young Children: A Classroom Curriculum</i> , Chapter 10 by Paul H. Brookes Publishing Co. (1998)
	R. Haaf et. al., "Computer-Based Language Assessment Software: The Effects of Presentation and Response Format", <i>Language, Speech, and Hearing Services in Schools</i> , Vol. 3, pp 68-74 (1999)
	R.K. Wagner et al., "CTOPP – Comprehensive Test of Phonological Processing – Examiner's Manual", Pro-Ed (1999)
	Laura I. Goin et al., "Simon Sounds It Out, The Friendly way to build letter-sound connections", Don Johnston, 1996
	<i>Ensure Success for Your Beginning Readers</i> ; STAR Early Literacy; Renaissance Learning (2002)
	<i>Assess Reading Growth in 10 Minutes or Less</i> ; STAR Early Literacy, Renaissance Learning (2002)
	<i>Phonics-Based Reading Software-Educational Software for Teachers and Parents</i> ; Lexia Learning Systems, Inc. (2000)
	<i>Waterford Early Reading Program (WERP)</i> ; Waterford Institute; Electronic Education, a division of Pearson Education (2000)
	<i>Breakthrough To Literacy – The New Three R's: Research, Reading, and Results</i> ; Wright Group McGraw-Hill (2000)
	International Search Report for PCT/US03/03146 dated July 11, 2003

Examiner:	Date Considered:
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	